103 CMR 478: DEPARTMENT OF CORRECTION

103 CMR 478.00: LIBRARY SERVICES Section 478.01: Purpose 478.02: Statutory Authorization 478.03: Cancellation 478.04: Applicability 478.05: Access to 103 CMR 478.00 Definitions 478.06: Staff 478.07: 478.08: Budget Facilities and Equipment 478.09: 478.10: General Library Services Legal Services 478.11: 478.12: **Operating Procedures** 478.13: Collection Development 478.14: Responsible Staff 478.15: Annual Review 478.16: Severability 478.01: Purpose The purpose of 103 CMR 478.00 is to establish department policy regarding library services. The objective of 103 CMR 478.00 is to provide a guide for the planning, implementation and evaluation of library services in all state correctional institutions. 478.02: Statutory Authorization 103 CMR 478.00 is issued pursuant to M.G.L. c. 124, § 1(c),(q)., and is consistent with M.G.L. c. 78,§ 19E..(4). 478.03: Cancellation -103 CMR 478.00 cancels all previous Department policy statements, bulletins, directives, orders, notices, rules or regulations regarding Library Services. 478.04: Applicability -103 CMR 478.00 is applicable to all employees and inmates at all correctional institutions within the Department of Correction. 478.05: Access to 103 CMR 478.00 −103 CMR 478.00 shall be maintained within the Central Policy File of the Department and will be accessible to all Department employees. A copy of 103 CMR 478.00 shall also be maintained in each Superintendent's Central Policy File and in each inmate library. 478.06: Definitions Access - use of general and law library services on a scheduled basis. Assistant DeputyAssociate Commissioner of Reentry-and Reintegration - the senior staff person whose duties include, but are not limited to, the management of classification, programs, education, reentry and reintegration. Audio-visual Equipment - any equipment needed to facilitate the use of non-print library material, such as

films, filmstrips, slides, recordings, videos, CDs, CD players, etc.

development of library media centers in state institutions.

Board of Library Commissioners - ("BLC")-the state agency responsible for the establishment and

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<u>Circulation</u> - the activity of a library in lending books and other materials to borrowers and keeping records of the loans.

<u>Collection</u> - the total accumulation of all library materials provided by the library for its users, also called resources or holdings. It may consist of books, periodicals, pamphlets, records or tapes, filmstrips, slides, pictures, games, *etc*.

<u>Commissioner</u> - the Commissioner of Correction.

<u>Inmate Management Systems (IMS)</u> – The Department of Correction's automated information system that provides processing, storage and retrieval of inmate related information needed by Department personnel and other authorized users within the criminal justice system.

 $\underline{Inter-library\ Loan}\ -\ a\ cooperative\ arrangement\ among\ libraries\ by\ which\ one\ library\ may\ borrow\ material\ from\ another.$

<u>Library Materials</u> - the total bibliographic holdings or resources of the library consisting of books, periodicals, pamphlets, records, tapes, filmstrips, slides, pictures, games, etc.

<u>Library Services</u> - the total services rendered by the library to its users, including provision of information, reference, bibliographic aid, lending materials, reading guidance, etc.

<u>Manager of Library Services</u> - an employee designated by the Commissioner of the Department of Correction as being responsible for coordination of library services.

<u>Media</u> - printed and audiovisual forms of communication and any necessary equipment required to make them usable.

<u>Original Legal Documents</u> - original documents to be filed with the Courts in support of criminal litigation challenging the inmate's sentence, directly or collaterally, or in support of civil litigation challenging the conditions of his/her confinement.

<u>Network</u> - a cooperative organization formed to provide services to members.

Superintendent – the Chief Administrative Officer of a state correctional institution.

<u>Technical Services</u> - all activities concerned with obtaining, organizing and processing library materials for use.

478.07: Staff

- (1) <u>Institution Librarian</u> A full time staff member holding either a Master's Degree in Library Science or Certification as a Professional Librarian. All institutions with an inmate population over 200 should be staffed with an institution librarian. All institution librarians shall be selected with the approval of the <u>Managermanager</u> of <u>Library Services</u>.
- (2) <u>Library Aide</u> A staff member with either prior library experience or professional library experience assigned by the Superintendent to coordinate library services under the supervision of the Manager of Library Services at those institutions with an inmate population under 200 which do not have an institution librarian.
- (3) <u>Manager of Library Services</u> A full time staff member of the Department of Correction, who coordinates and supervises library services for all institutions in the system. The Manager of Library Services shall have
- a Master's Degree in Library Services or Certification as
- a Professional Librarian. The Manager of Library Services, in conjunction with the appropriate institution personnel, shall participate in the goal setting and review process for all institution librarians and shall be a co-signatory on all librarian reviews.
- (4) Institution librarians shall meet regularly to form and maintain a network for the purpose of

communication, resource sharing, continuing education and training, and the development of cooperative projects and/or grant proposals. Librarians shall maintain communications and establish liaisons with their counterparts in similar institutions and in all types of libraries outside the institution.

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- (5) Specifications for library personnel shall be written, to the extent feasible, with cooperation of the Department's Manager of Library Services, the institutional Director of Treatment, and the Consultant for Services to Institutions from the Massachusetts Board of Library Commissioners.
- (6) Institutions may use inmates as library clerks, subject to the approval of the Superintendent and the institution librarian. Each institution shall develop procedures for the selection of inmate library clerks. This procedure shall include an application process through which each inmate is screened for eligibility as a library clerk. All inmate applicants must demonstrate basic literacy skills. Inmates must complete the approved training course prior to selection as a law library clerk. Institutional At IMS sites, institutional procedures should ensure that special requirements of these positions shall be provided to the work assignment officer who shall enter the information in the Create Job Assignment Screen.
- (7) The institution librarian shall be responsible for training inmates as general and law library clerks. Each institution shall develop procedures to ensurement that general and law library clerks are trained to perform routine library duties as circulation and technical services assistants. No inmate will be hired as a law library clerk without passing a qualifying exam. Each institution with an inmate population over 200 shall develop procedures for the delivery of the approved training course to train potential law library clerks.

478.08: Budget

——Each institution librarian or library aide shall make annual budget recommendations to the Superintendent and the Manager of Library Services. Advisory guidelines can be found in the <u>Library Standards for Adult Correctional Institutions</u>, <u>published by the Association of Specialized & Cooperative Library Agencies</u>.

478.09: Facilities and Equipment

It is recommended that the correctional library be functional in design and inviting in appearance. The types of equipment and machinery will vary depending upon the services and programs of the institution and its library. All libraries should have typewriters, copying equipment, and audio-visual equipment.

478.10: General Library Services

- (1) <u>Purpose</u> The library is an information center for the institution. Library services support, broaden and strengthen the institution's program. The library provides a variety of services, materials and programs comparable to a public library. Advisory guidelines can be found in the <u>Library Standards for Adult Correctional Institutions</u>.
- (2) The library should encompass a variety of services, materials, and programs. These should include but not be limited to:
 - (a)_ planned and continuous acquisition of materials;
 - (b) logical organization of materials for convenient use;
 - (c) circulation of materials to maximize use and satisfy informational, educational and recreational needs of users:
 - (d) reference and information services;
 - (e) reader's advisory services;
 - (f) promotional activities to publicize the library's resources;
 - (g) audio-visual programs; and
 - (h) motivational programs to attract users and encourage activities and participation in a variety of projects.; and -
 - (i) materials that meet the needs of inmates who are visually impaired (e.g., large print books)
- (3) The library should have cooperative inter-library loan affiliations with various segments of the library community to supplement its own print and non-print resources.

(4) In institutions with fewer than 200 inmates, the Manager of Library Services and the institutional staff member assigned by the Superintendent to be responsible for library services shall determine the best method for providing those services.

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(5) The library shall have copies of all unrestricted department and institution policies available for the staff and inmates.

478.11: Legal Services

- (1) <u>General</u> The constitutional right of access to the courts requires that, when requested, inmates receive assistance in preparing and filing legal papers. This assistance may include access to law library facilities, instruction in the use of legal materials and reference assistance. However, such assistance shall not include legal advice or direction of legal research on the part of library personnel.
- (2) <u>Law Collection</u> Each institution with an inmate population over 200 shall have a law collection. As suggested by federal and state court rulings and national standards, the law library should include at a minimum: state and federal constitutions, state statutes, state decisions, procedural rules and decisions and related commentaries, federal case law, court rules, practice treatises, citators, <u>and</u> legal periodicals...<u>and digests.</u>
 - (a) The <u>institution librarian shall ensure that the</u> law collection <u>isshall be</u> maintained and updated by the institution librarian.
 - (b) Legal materials, with the exception of photocopies, shall not be circulated.
 - (c) The institution librarian shall make reasonable efforts to secure materials not in an institution's collection but available through established inter-library loan procedures.
- (3) Access Library access shall be scheduled and coordinated by the librarian and shall be provided to all inmates within the institution. The law collection may be made available to inmates online. An inmate of any state correctional institution without an adequate law collection may request transportation to an institution with a law collection for the purpose of conducting legal research. This request shall be made in writing to the Superintendent or a designee. The Superintendent may provide access to legal assistance in lieu of the law collectionLaw Library Services as deemed appropriate.

(4) <u>Photocopies</u>

- (a) Photocopying services shall be for the purpose of duplicating original legal documents. The Superintendent shall designate the staff members responsible for photocopying legal documents and legal reference materials.
- (b) All <u>approved</u> photocopy requests shall be compiled within reasonable amounts at no charge. In order to provide photocopying services to all inmates, the Superintendent may establish guidelines and limits, subject to the review of the Commissioner or a designee.
- (c) No photocopy request shall be processed unless <u>athe Department of Correction Legal Photocopying Request Form, available at all institution library,</u> is completed and attached to the original legal document to be copied.
- (d) The librarian shall make reasonable efforts to secure materials not in an institution's collection but available through established inter-library loan procedures.

(5) <u>Supplies</u>

(a) The institution shall make reasonable efforts to assist inmates in the preparation and processing of their <u>original</u> legal documents. <u>Reasonable amounts of itemsItems</u> such as paper, pencils, envelopes and typewriters shall be provided to all inmates who request them and should be

available free of charge. The Superintendent may establish guidelines and limits for such services, subject to the approval of the Manager of Library Services reviewing authority.

Records shall be kept of access, photocopy use and supplies dispersed, including to whom supplies were dispersed.

478.12: Operating Procedures

- (1) Library Services staff with the approval of the Superintendent, shall post a schedule of library hours and activities.
- Written procedures regarding daily operating procedures shall be established by the librarian with (2)the approval of the Superintendent.
- (3)An inventory of equipment and materials shall be conducted annually by the librarian.

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- Each Superintendent in cooperation with the librarian should establish procedures to ensure the security of the library and the library collection.
- Supervisory At IMS sites, supervisory staff shall ensure that all programs and activities are entered in the Create Institution Schedule screen. For programs or activities that require advance sign up, inmates shall be placed on waiting lists and/or enrolled in Program Enrollment screen. This screen shall also be utilized to discharge inmates from programs. Program attendance should be documented daily in the Program/Work Attendance screen for all programs or activities where attendance is taken.
- For At IMS sites, for programs/activities for which good time may be awarded, institutional procedures shall ensure that program facilitators complete the Performance Rating screen on a monthly basis. Additionally, for those programs that require the completion of the program prior to the awarding of good time, supervisory staff shall complete the Good Time for Program Completion screen after discharging the inmate from the program.
- Institutional At IMS sites, institutional procedures shall ensure that staff schedule inmate activities (7)such as law library usage when such scheduling is done on an individual basis rather than on a unit or group basis using the Inmate Schedule screen.
- Special At IMS sites, special events shall be documented in the Incident Report screen utilizing incident type of "Institution Related: Special Event."

478.13: Collection Development

Library materials should be selected to meet the educational, informational, legal, cultural and recreational needs of its users. These materials should be relevant to the needs and interests of the population, reflect different reading levels, languages, special interest and ethnicity of inmates. See the Library Standards for Adult Correctional Institutions.

-To ensure that materials meet these needs, each library should have a written statement of policy that

defines the principles, purposes and criteria to be

considered in the selection and maintenance of library materials. This statement should apply to gifts and donations as well as to purchased items. In addition, inmates may make suggestions for acquisitions to the librarian.

478.14: Responsible Staff

(1) The Manager of Library Services, under the direction of the Assistant DeputyAssociate Commissioner of Reentry-and Reintegration, is responsible for coordinating all programs and services for libraries within the Department of Correction and for monitoring and reviewing 103 CMR 478.00. The Manager shall also be the liaison with the Board of Library Commissioners.

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(2)The Superintendent of each institution in conjunction with the Library Services staff is responsible for implementing 103 CMR 478.00 and developing procedures pursuant to its application.

478.15: Annual Review

——103 CMR 478.00 shall be reviewed at least annually by the Commissioner or a designee. The party or parties conducting the review shall develop a memorandum to the Commissioner with a copy to the Central Policy File indicating revisions, additions, or deletions which shall be included for the Commissioner's Commissioner's written approval and shall become effective pursuant to applicable law.

478.16: Severability

If any article, section, subsection, sentence, clause or phrase of 103 CMR 478.00 is for any reason held to be unconstitutional, contrary to statute, in excess of the authority of the Commissioner or otherwise inoperative, such decision shall not affect the validity of any other article, section, subsection, sentence, clause or phrase of 103 CMR 478...00.

REGULATORY AUTHORITY

103 CMR 478.00: M.G.L. c. 124, § 1(c), (q).